

08/22/01

0935513-082201

JC867 U.S. PTO

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## REISSUE PATENT APPLICATION TRANSMITTAL

Address to:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

Attorney Docket No.	X-10576A
First Named Inventor	Harlan Edgar Shannon
Original Patent Number	5,945,416
Original Patent Issue Date (Month/Day/Year)	August 31, 1999
Express Mail Label No.	EL 832952027 US

APPLICATION FOR REISSUE OF: ☒ Utility Patent ☐ Design Patent ☐ Plant Patent

(check applicable box)

## APPLICATION ELEMENTS

1. ☒ Fee Transmittal Form (PTO/SB/56)  
(Submit an original and a duplicate for fee processing)
2. ☒ Specification and Claims (amended, if appropriate)
3. ☐ Drawing(s) (proposed amendments, if appropriate)
4. ☒ Reissue Oath/declaration (original or copy)  
(37 CFR 1.175)(PTO/SB/51 or 52)
5. Original U.S. Patent  
☒ Offer to Surrender Original Patent (37 CFR 1.178) (PTO/SB/53 or PTO/SB/54)  
or  
☐ Ribbonded Original Patent Grant  
☐ Affidavit/Declaration of Loss (PTO/SB/55)
6. Original U.S. Patent currently assigned?  
☒ Yes ☐ No  
(If Yes, check applicable box(es))  
☒ Written Consent of all Assignees (PTO/SB/53 or PTO/SB/54)  
☐ 37 CFR 3.73(b) Statement  
☒ Power of Attorney

## ACCOMPANYING APPLICATION PARTS

7. ☐ Transfer drawings from Patent File
8. ☐ Foreign Priority Claim (35 USC 119) (if applicable)
9. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
10. ☐ English Translation of Reissue Oath/Declaration (if applicable)
11. ☐ Small Entity Statement(s) ☐ Stmt. Filed in prior application, Status still proper and desired
12. ☒ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
14. ☐ Other: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

## 15. CORRESPONDENCE ADDRESS

☐ Customer Number  
or Bar Code Label

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or ☒ Correspondence  
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Signature	<i>Nelsen L. Lentz</i>	Date	August 22, 2001

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EL 832952027 US

Date of Deposit

August 22, 2001

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(Signature of person mailing paper or fee)



US005945416A

**United States Patent** [19]**Shannon et al.****[11] Patent Number: 5,945,416****[45] Date of Patent: Aug. 31, 1999****[54] METHOD FOR TREATING PAIN****[75] Inventors: Harlan E. Shannon, Carmel, Ind.;  
Daniel E. Womer, Thornton, Colo.****[73] Assignee: Eli Lilly and Company, Indianapolis,  
Ind.****[21] Appl. No.: 08/823,461****[22] Filed: Mar. 24, 1997****Related U.S. Application Data****[60] Provisional application No. 60/014,130, Mar. 25, 1996,  
provisional application No. 60/014,132, Mar. 25, 1996,  
provisional application No. 60/014,128, Mar. 25, 1996, and  
provisional application No. 60/014,129, Mar. 25, 1996.****[51] Int. Cl.<sup>6</sup> ..... A61K 31/55****[52] U.S. Cl. .... 514/220****[58] Field of Search ..... 514/220****[56] References Cited****U.S. PATENT DOCUMENTS**5,229,382 7/1993 Chakrabarti et al. .... 514/220  
5,605,897 2/1997 Beasley, Jr. et al. .... 514/220*Primary Examiner*—Theodore J. Criaes  
*Attorney, Agent, or Firm*—Arleen Palmberg; Macharri  
Vorndram-Jones**[57] ABSTRACT**The present invention provides a method for treating pain  
using a composition comprising olanzapine and Drug Useful  
in the Treatment of Pain.**44 Claims, No Drawings**

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08-24-01

A/R

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FTO/SB/17 (12/97)

Approved for use through 09/30/00. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## FEE TRANSMITTAL

Note: Effective November 10, 1997.

Patent fees are subject to annual revision.

Amount of Payment (\$2,228)

METHOD OF PAYMENT (check one)

Complete if Known

Application Number	
Filing Date	
First Named Inventor	Harlan Edgar Shannon
Group Art Unit	
Examiner Name	
Attorney Docket Number	X-10576A

FEE CALCULATION (continued)

3. ADDITIONAL FEES		Fee Description		Fee Paid
Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	
105	130	205	65	Surcharge-late filing fee or oath
127	50	227	25	Surcharge-late provisional filing fee or cover sheet.
139	130	139	130	Non-English specification
147	2,520	147	2,520	For filing a request for reexamination
112	920*	112	920*	Requesting publication of SIR prior to Examiner action
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action
115	110	215	55	Extension for reply within first month
116	390	216	195	Extension for reply within second month
117	890	217	445	Extension for reply within third month
118	1,390	218	695	Extension for reply within fourth month
128	1,890	228	945	Extension for reply within fifth month
119	310	219	155	Notice of Appeal
120	310	220	155	Filing a brief in support of an appeal
121	270	221	135	Request for oral hearing
138	1,510	138	1,510	Petition to institute a public use proceeding
140	110	240	55	Petition to revive-unavoidable
141	1,240	241	620	Petition to revive-unintentional
142	1,210	242	605	Utility issue fee (or reissue)
143	430	243	215	Design issue fee
144	580	244	290	Plant Issue Fee
122	130	122	130	Petitions to the Commissioner
123	50	123	50	Petitions related to provisional applications
126	240	126	240	Submission of Information Disclosure Stmt.
581	40	581	40	Recording each patent assignment per property (times number of properties)
146	710	246	355	Filing a submission after final rejection (37 CFR 1.129(a))
149	710	249	355	For each additional invention to be examined (37 CFR 1.129(b))
179	710	279	355	Request for Continued Examination (RCE)
169	900	169	900	Request for expedited examination of a design application

Other fee (specify)  
Other fee (specify)  
\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$0.00)

SUBTOTAL (2) (\$1518.00)

\*\*or number previously paid, if greater. For Reissues, see above

## SUBMITTED BY

Typed or Printed Name: NELSEN L. LENTZ  
Signature: *Nelson L. Lentz*

Complete (if applicable)

Reg. Number: 38,537

Date: August 22, 2001

"Express Mail" mailing label number: EL 832952027 US

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